

MARCH 18, 2020

**REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ)  
COUNTY OF PLUMAS  
SB 844 NEW JAIL AND DAY REPORTING CENTER**

The County of Plumas (County) is seeking a qualified Design-Build Entity (D-BE) to design and construct a new Jail and Day Reporting Center. **The County does not want site visit during this period or phase in the project as the site is still actively used by both Public Works and the Sheriff's Office.**

This project will design and construct a new jail facility and day reporting center (DRC) on county-owned land in Quincy, CA. The new jail will include housing, intake, staff support, medical clinic, administration space, and a public lobby shared with the DRC. The DRC will include administrative and program space.

The new jail will include housing, public lobby/administration area, intake/transfer/release, medical clinic, support, and day reporting center. Housing will consist of 60 dorm-style single/double beds, dayroom, outdoor recreation space, and multipurpose rooms used for programming and treatment. The public lobby/administration area will consist of a public lobby, jail administration space, and in-person visitation. Intake/release/transfer area will have multiple cells, vehicle sallyport, booking counter, and an interview room. Medical clinic will include treatment rooms, an exam room, storage, and office space. Support areas will consist of a kitchen, food storage, laundry and staff support area. The day reporting center will include classrooms, offices, and video visitation.

The project will also include, but is not limited to, utilities, central control, electrical, plumbing, mechanical, heating, ventilation and air conditioning, communications, security, site improvements, fire protection systems, security fencing, and all necessary appurtenances.

In accordance with the provisions of the Public Contract Code, the County is utilizing a two-step process to prequalify and award a contract to a D-BE for the project. In this first step, the County is issuing the attached RFSQ with Prequalification Questionnaire. Based on criteria stated in the Prequalification Questionnaire, the County will score and rank all submittals. D-BEs with the three (3) highest scores will be eligible to participate in the second step in the process, the Request for Proposal (RFP).

**RFSQ CONTENT**

This RFSQ package includes the following:

**Cover Letter**

**Table of Contents**

**Section A – RFSQ Information**

Prequalification Requirements

RFSQ Process

Submittal Requirements

Evaluation of Prequalification Documents

**Section B – Prequalification Questionnaire**

Prequalification Questionnaire  
Surety Declaration and Certification  
**Section C – Attachments**  
Prequalification Questionnaire

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**DUE DATE AND TIME**

**The Due Date and Time is May 18<sup>th</sup>, 2020, 3:00 p.m.**

A complete response to the RFSQ will consist of providing all information requested in the Prequalification Questionnaire. Your response, the Prequalification Document, must be submitted in a three-ring binder or spiral-bound document with tabs identifying Parts I through VI of the Prequalification Questionnaire. Attachments must be clearly identified under a separate tab. The front of the binder must indicate the D-BE's name and address in the following format:

REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ) DESIGN-BUILD  
Company Name and Address:  
Project Name: County of Plumas SB 884 New Jail and Day Reporting Center  
Design-Build Project  
Due Date and Time:

Submit one (1) original and one (1) thumb drive (Adobe PDF format, with signature and notarized pages clearly scanned and inserted) of the Prequalification Document and attachments to the County of Plumas Department of Public Works. Prequalification Documents shall be submitted in a sealed envelope or package clearly marked on the exterior and in the same format noted above prior to the Due Date and Time.

This RFSQ does not commit the County to issue an RFP or award a contract. The County reserves the right to cancel this RFSQ at any time, in part or in its entirety, should this be in the best interest of the County.

**Late submissions cannot be considered unless they are the only one received or there was mishandling on the part of the CGL. Submittals must be received at CGL Companies, 2260 Del Paso Road Suite 100, Sacramento, CA 95834, front counter, by the identified Due Date and Time.**

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**SCHEDULE**

Below is the County’s anticipated schedule for this first step in the two-step process:

<b>Event Description</b>	<b>Target Date(s)</b>
RFSQ Issued	March 18, 2020
<b>Questions Deadline</b>	<b>April 14, 2020 3:00 p.m.</b>
Addendum Period	April 28, 2020 @3:00PM
<b>Due Date and Time</b>	<b>May 18, 2020 @ 3:00 p.m.</b>
Notice of Prequalification Posted	June 19, 2020

The above schedule may be adjusted as events transpire. All times noted in this RFSQ are local Pacific Time.

**QUESTIONS**

Questions and requests for clarification related to definition or interpretation of this RFSQ must be submitted by email to Cameron Glass, the County’s Consulting Project Manager, by the date and time identified above. Questions received after this deadline will not be answered. Answers to questions will be emailed as an addendum no later than 24 hours prior to the response due date and time.

**Email:**

cglass@cglcompanies.com

Cameron Glass  
Project Director | CGL Companies

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**SECTION A**

**1. PREQUALIFICATION REQUIREMENTS**

**1.1 PROJECT DESCRIPTION**

This project involves the design and construction of a new jail and day reporting center located at 56 Abernethy Lane, Quincy CA, on approximately 2.11+/- acres of the greater 13+/- acres of County-owned land. This project will design and construct a new stand-alone jail facility on county-owned land in Quincy, CA. The new jail will include housing, public lobby/administration area, intake/transfer/release, medical clinic, support, and day reporting center. Housing will consist of 60 dorm-style single/double beds, dayroom, outdoor recreation space, and multipurpose rooms used for programing and treatment. The public lobby/administration area will consist of a public lobby, jail administration space, and in-person visitation. Intake/release/transfer area will have multiple cells, vehicle sallyport, booking counter, and an interview room. Medical clinic will include treatment rooms, an exam room, storage, and office space. Support areas will consist of a kitchen, food storage, laundry and staff support area. The day reporting center will include classrooms, offices, and video visitation.

The project will also include, but is not limited to, utilities, central control, electrical, plumbing, mechanical, heating, ventilation and air conditioning, communications, security, site improvements, fire protection systems, security fencing, and all necessary appurtenances.

The project will be constructed of durable materials suitable for a jail environment (steel, masonry, and concrete). Among other requirements, the project and services of the D-BE shall maintain eligibility in the State of California and the Board of State and Community Corrections (BSCC) Senate Bill (SB) 844 Adult Local Criminal Justice Facilities Construction Guidelines. Information on the SB 844 program is available at:

[http://www.bscc.ca.gov/downloads/SPWB\\_Guidelines\\_for\\_LRBCFP\\_Web01-04102014.pdf](http://www.bscc.ca.gov/downloads/SPWB_Guidelines_for_LRBCFP_Web01-04102014.pdf)

The project scope will also include site infrastructure, and abatement (if required). The project scope will include fully integrated Building Information Modeling (BIM) in all phases of design and construction.

**1.1.1 Introduction**

The implementation of the Public Safety Realignment Act is having a significant impact on the County jail system because of the longer sentences for these new locally incarcerated inmates. Additionally, the jail has a lack of space for inmate programming and services that must be provided for the growing population of these longer-term inmates.

The County of Plumas Sheriff's Office applied for and received conditional approval from the BSCC of \$25,000,000 for construction in SB 844 funding. The funding from the state will be used to build a new jail and day reporting center to serve the county

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for generations to come.

**1.1.2 Cost Estimate and Authorization to Proceed**

The total construction cost of the new facility is currently estimated to be \$22,370,083. The project is expected to be financed through funds from the State of California SB 844 program and County matching funds. If SB 844 funds do not become available, the project will not be built.

The Design-Build contract will only commit the County to appropriated funds.

**1.2 DEFINITION OF A DESIGN-BUILD ENTITY**

A Design-Build Entity (D-BE) is defined by Public Contract Code section 22161(d) as: “Design-build entity’ means a partnership, corporation, limited liability company, joint venture or other legal entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a Design-Build contract.”

**1.3 LISTING OF DESIGN-BUILD MEMBERS**

1.3.1 The D-BE shall identify and list in Section B, Part I of the Questionnaire, the General Contractor and Architect. The Mechanical Engineer, Electrical Engineer, Mechanical Subcontractor, Security Electronic subcontractor, Detention Equipment subcontractor, and other subcontractors and sub-consultants known at the time of submission are indicated as optional. The D-BE must complete Section B, Part II of the Questionnaire. The General Contractor must complete Section B, Part III of the Questionnaire. The General Contractor and Architect must each complete Section B, Part IV.

1.3.2 If the D-BE consists of a member performing both design and construction services (i.e., a General Contractor with a licensed design staff), then that member must complete Part IV for both parties. The D-BE will be scored as, and must qualify as, both General Contractor and Architect.

1.3.3 Substitutions. If the D-BE wishes to substitute any Member identified in the Prequalification Document, it may not do so without the express written consent of the County. No conduct on the part of the County shall be interpreted as providing implied consent to substitution. No such approval will be granted unless the Member is proposed to be replaced by a firm of equal or higher qualification and is subject to approval of the County. Upon notice of a substitution, the County reserves the right to: 1) rescore the Prequalification Document of the D-BE requesting the substitution, and 2) disqualify the D-BE if it is determined that the proposed Member is not “equally or higher qualified.” Should the D-BE feel that they have a proposed “equally or higher qualified” Member, it is still at the sole discretion of the County to determine “equally or higher qualified.”

1.3.4 Services of other subcontractors or sub-consultants not listed and in excess of half of 1 percent of the GMP (Guaranteed Maximum Price) must be procured by a public

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proposal process (Public Contract Code section 22166(b)), subsequent to award of a contract. This public proposal process shall provide: 1) announcement in a legal newspaper for minimum of one (1) day, 2) fixed date and time of public opening of proposals to be held in Plumas County at a location to be determined by the Plumas County Director of Public Works, and 3) D-BE will select on the basis of its determination of best value.

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**2. RFSQ PROCESS**

**2.1 PROCESS OVERVIEW**

2.1.1 The RFSQ will be made available by downloading from the County's Public Works Administration webpage at: <http://www.countyofplumas.com/publicworks/> It is the D-BE's responsibility to periodically check the website for addenda that may be issued to implement changes or clarification to the RFSQ prior to the Due Date and Time.

2.1.2 Documents provided by the criteria team are subject to the following disclaimer:

Neither the County nor any of its employees, agents, advisors, or representatives has made or makes any representation or warranty, express or implied, as to the accuracy or completeness of the Diligence Material. Without limiting the generality of the foregoing, the Diligence Material may include certain assumptions, statements, estimates, and projections provided by or with respect to the County. Such assumptions, statements, estimates, and projections reflect various assumptions made by the County, which assumptions may or may not prove to be correct. No representations are made by the County as to the accuracy of such assumptions, statements, estimates, or projections.

2.1.3 All contacts from your organization related to this RFSQ or your Prequalification Document must be directed by email to the County's Consulting Project Manager. You should not attempt to contact any other County personnel or members of the project team about this RFSQ unless authorized by the County's Consulting Project Manager.

2.1.4 If required, written addenda to the RFSQ will be issued to provide clarifications, corrections, or to answer questions, and will be posted online at the County's website identified above.

2.1.5 Prequalification Documents will be evaluated by a Qualification Evaluation Committee (QEC) composed of County staff and the County's Consulting Project Manager.

2.1.6 The County of Plumas Department of Public Works will notify all Respondents and post a "Notice of Prequalification" for five (5) business days after receipt and approval of the QEC's recommendation. The Department of Public Works will notify all Respondents of the status of their Prequalification Document prior to posting the "Notice of Prequalification."

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**2.2 SUBMISSION OF PREQUALIFICATION DOCUMENT**

- 2.2.1 Prequalification Documents must be submitted and received at the front desk of the Department of Public Works by the Due Date and Time specified in the cover letter to this RFSQ.
- 2.2.2 It is understood and agreed upon by the Respondent in submitting a Prequalification Document that the County has the right to withhold all information regarding this prequalification until after contract award. To the extent the Public Records Act, California Government Code section 6250 and following, requires, the qualifications evaluation report shall become a public record after contract award. The report may include a general description of the Respondent's financial condition. Respondents acknowledge that they have the right to only review that portion of the report that pertains to them prior to contract award. Information releasable after contract award is subject to the disclosure requirements of the Public Records Act, except as otherwise provided by Public Contract Code section 22164(b)(4)(B).
- 2.2.3 D-BEs shall provide all materials required in this RFSQ.
- 2.2.4 The Prequalification Document shall provide clear and sufficient detail to enable the QEC to evaluate the responsiveness and quality of the proposal to each of the RFSQ requirements listed in "Submittal Requirements." Although some factors are weighted more important than others, all factors are considered necessary for an acceptable submittal.

**2.3 EVALUATION AND PREQUALIFICATION**

- 2.3.1 Prequalification Documents will be evaluated based upon the information provided in response to the "Submittal Requirements" and "Evaluation of Prequalification Documents" and any other information known to the County. This information may be provided by written material, electronic means, or oral presentations.
- 2.3.2 In the unlikely event of a tie that does not allow the county to have a top 3 team list, the County reserves the right to require a phone or in person interview to come to a top 3 list of DBE teams. The interviews, if required, will be for the purpose of assisting the County in evaluating the D-BE's qualifications as outlined herein and developing a top 3 respondent list.
- 2.3.3 The County reserves the right to request clarification and/or request additional information from D-BEs if necessary. Such clarifications and/or additional information shall be submitted by the D-BEs as an addendum to the Prequalification Document upon request of the County's Consulting Project Manager. The fact that the County may request such clarification from one D-BE does not obligate it to seek similar clarification from any other D-BE.
- 2.3.4 Upon receipt and approval of the QEC's recommendation of the three (3) highest qualifying scores, the Department of Public Works will post a "Notice of Prequalification" for five (5) business days. The "Notice of Prequalification" will identify the three (3) D-BEs that will be eligible to participate in the RFP process.

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**2.4 SIGNATURE**

Prequalification Documents shall be certified by an authorized officer or employee of the submitting organization who has actual authority to contractually bind the organization. The name and title of the authorized officer or employee, and the address, phone, and fax number of the organization shall be included.

**2.5 PROPRIETARY INFORMATION**

All response documents become the property of the County and subject to Public Records Act requirements of California Government Code section 6250 and following. Information provided will be kept confidential to the extent permitted by law, including, but not limited to, Public Contract Code section 22164(b)(4)(B).

**2.6 UNNECESSARILY ELABORATE INFORMATION**

Unnecessarily elaborate brochures, visual or other presentations, artwork, and paper and binding beyond those sufficient to present a complete and effective Prequalification Document are neither necessary nor desired.

**2.7 COUNTY COMMITMENT**

- 2.7.1 The County shall have the right to reject or accept any Prequalification Document or any part thereof for any reason whatsoever, at its sole discretion.
- 2.7.2 This RFSQ does not commit the County to issue a RFP, award a contract, nor does it commit the County to pay any cost incurred in the submission of the Prequalification Document or attachments, nor procure or contract for services or supplies. Further, no reimbursable cost may be incurred in anticipation of selection or a contract award.
- 2.7.3 The County reserves the right to terminate this RFSQ at any time.
- 2.7.4 No prior, current, or post-award verbal conversation or agreement(s) with any officer, agent, or employee of the County shall affect or modify any terms or obligations of this RFSQ, or any contract resulting from this procurement.
- 2.7.5 The County reserves the right to waive any informality or irregularity in the Prequalification Documents submitted.

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**2.8 LATE, MODIFIED, OR WITHDRAWN PREQUALIFICATION DOCUMENT**

- 2.8.1 Late submissions of Prequalification Documents cannot be considered unless they are the only one received, there was mishandling on the part of the County staff, or weather causes a delay. Delays of delivery due to weather may be accepted provided DBE can provide proper documentation of this weather event and that DBE made all attempts to assure delivery would have occurred at the Due Date and Time. Prequalification Documents must be received at the Department of Public Works front counter by the identified Due Date and Time.
- 2.8.2 Any modification of a Prequalification Document is subject to the same conditions as the initial submission.
- 2.8.3 Prequalification Documents may be withdrawn by written notice received at any time prior to the closing of the RFSQ. Request for Statements of Qualification may be withdrawn in person by a D-BE or its authorized representative, provided the authorized representative's identity is made known.

**2.9 NON-RESPONSIVE SUBMISSIONS**

Any Prequalification Document may be construed as non-responsive and ineligible for consideration if it does not comply with the requirements of the RFSQ. Failure to comply with the format and acknowledgment of receipt of addendum are common causes for holding a submittal non-responsive.

**2.10 KNOWLEDGE OF RFSQ AND CONDITIONS**

Before submitting a Prequalification Document, D-BEs shall carefully read all sections of this RFSQ, including all forms and exhibits, and shall fully inform themselves as to all conditions and limitations.

**2.11 DUTY TO INQUIRE**

Should a D-BE find discrepancies in, or omissions from, the RFSQ or other documents, or should the D-BE be in doubt as to their meaning, the Respondent shall at once notify the County's Consulting Project Manager in writing by email. Clarifications or corrections will be issued by addendum posted on the County's website. It is the D-BE's responsibility to periodically check the website for such addenda. The County will not be responsible for any oral instructions nor for any written materials provided by any person other than the County's Consulting Project Manager or his/her designee. No response will be provided to questions received after the date posted.

**2.12 DEBRIEF**

When a D-BE has been notified by the County's Consulting Project Manager that their Prequalification Document is no longer being considered, the D-BE may request a "debriefing" solely about the findings on their Prequalification Document (with no comparative information about Prequalification Documents submitted by others).

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**2.13 NEWS RELEASES**

D-BEs shall not issue any news release pertaining to this RFSQ without prior written approval of the Plumas Project Representative and the County's Consulting Project Manager. The County retains the discretion to withhold approval. A minimum of two (2) business days' notice is required for approval.

**2.14 CLAIMS AGAINST THE COUNTY**

Neither your organization nor any of your representatives shall have any claims whatsoever against the County or any of its respective officials, agents, or employees arising out of or relating to this RFSQ or these procedures (other than those arising under a contract with your organization in accordance with the terms thereof). The County is not responsible for any costs relating to preparation of a response to this RFSQ for any reason and no such costs are recoverable from the County.

**2.15 EVENTS**

The timing and sequence of events resulting from this RFSQ shall be determined by the Plumas County Project Representative.

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**3. SUBMITTAL REQUIREMENTS**

**3.1 ORGANIZATION REQUIREMENTS**

- 3.1.1 Prequalification Document submittals must be organized in the following manner:
- a. A cover letter may be provided at the D-BE's discretion (two-page maximum).
  - b. A table of contents shall be provided (one page).
  - c. A completed Prequalification Questionnaire, Parts I through VI, shall be provided.
  - d. Attachments
    - i. If forming a D-BE partnership or association a copy of the agreement must be included
    - ii. Evidence of Errors and Omissions Coverage
    - iii. Evidence of General Liability Insurance Coverage
    - iv. Evidence of Workers Compensation Coverage
    - v. Reviewed Financial Statements
    - vi. Notarized Surety Declaration
    - vii. Certification

- 3.1.2 The Prequalification Document must be submitted in a three-ring binder or spiral-bound document with tabs identifying Parts I through VI of the Prequalification Questionnaire. Attachments must be clearly identified under a separate tab. The front of the binder must indicate the D-BE's name and address in the following format:

RFSQ Reference Code:  
Company Name and Address:  
Project Name: County of Plumas SB 844 New Jail and Day Reporting Center  
Design-Build Project  
Due Date and Time:

- 3.1.3 Submit one (1) original and one (1) thumb drive (Adobe PDF format, with signature and notarized pages clearly scanned and inserted) of the Prequalification Document with all attachments. Failure to submit the required number of copies in the manner noted above may result in a finding of non-conformance.

**3.2 WRITTEN REQUIREMENTS**

- 3.2.1 The Prequalification Document shall be in the required format with all forms, answers, and attachments sequentially numbered to correspond to the applicable question or requirement.
- 3.2.2 The Prequalification Document shall be typed and be concise, but comprehensive. The Prequalification Document shall not include promotional material of any kind. The Prequalification Document shall be in accordance with the requirements discussed herein.

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**4. EVALUATION OF PREQUALIFICATION DOCUMENTS**

**4.1 EVALUATION PROCESS**

4.1.1 The evaluation process shall follow sequential steps and utilize scoring criteria to ensure that each Prequalification Document is evaluated in the same manner:

- a. **Timely Receipt:** Timely receipt at the County. Prequalification Documents must be received at the Department of Public Works front counter by the Due Date and Time. Delays of delivery due to weather may be accepted provided DBE can provide proper documentation of this weather event and that DBE made all attempts to assure delivery would have occurred at the Due Date and Time.
- b. **Conformance of Prequalification Documents:** Review by the QEC for conformance of Prequalification Documents to submittal requirements.
- c. **Scoring and Ranking:** Scoring and ranking of Prequalification Documents will determine the three (3) highest scores.

4.1.2 Each Prequalification Document will be evaluated. Adherence to mandatory criteria will be evaluated first, and only those proposals meeting all mandatory criteria will be evaluated further.

4.1.3 In the unlikely event of a tie for the top 3 highest scores, the County reserves the right to require in person or phone interviews with the teams.

**4.2 SCORING AND RANKING**

4.2.1 The scoring and ranking is based on evaluation of the Prequalification Document as measured against the scoring criteria. Prequalification Documents will be evaluated and ranked from highest to lowest. The County will identify the three (3) highest scores in the following manner:

**4.2.1.1 Mandatory Criteria (Pass/Fail)**

Provide certification that the answers submitted in the Prequalification Document are true and correct. If certification is not provided, the proposal shall be deemed non-responsive and will not be scored.

Provide Surety Declaration stating that minimum bonding capacity will equal the amount specified for the project. D-BEs who cannot meet minimum bonding capacity will not be deemed qualified.

D-BEs will be disqualified for answers to any question in Part II of the Questionnaire in a manner indicated as “Disqualifying.”

**4.2.1.2 Scoring Criteria**

Responses to Parts I through VI of the Prequalification Questionnaire will be scored with a uniform system of rating as set out in Part VII entitled “Prequalification

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Scoring Criteria.”

- 4.2.2 The purpose of the scoring and ranking of the Prequalification Documents is limited to identifying up to three D-BEs with the highest scores that will then be eligible to advance to the second step in the selection process, the issuance of an RFP. The scores of the eligible D-BEs that are established at the conclusion of the Prequalification (RFSQ) phase will not be used in ranking the eligible D-BEs entering into or during the second step in the selection process, the RFP phase (best value).

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SECTION B – PREQUALIFICATION QUESTIONNAIRE**

**SECTION B**

**PART I**

**Information on the DESIGN-BUILD ENTITY (D-BE) and MEMBERS**

<b>DESIGN-BUILD ENTITY</b> (Entity with whom the County would have a contract)			
Name:		Check one:	
Address:		<input type="checkbox"/> Corporation*	
		<input type="checkbox"/> Partnership	
Phone:		<input type="checkbox"/> Joint Venture	
Fax:		<input type="checkbox"/> Other Association	
Contact:		Title:	
Address, if different:			
Web Address:			
Phone:		Fax:	

\* Under existing California law, Limited Liability Corporations (LLCs) cannot be licensed as contractors or provide professional services for which a license, certification or registration is required unless expressly authorized under provisions of the Business and Professions Code.

<b>MEMBERS</b>				
Discipline	Firm Name	RME/RMO	CA License #	Expiration
General Contractor				
Architect				
Mechanical Engineer	<i>Optional</i>			
Electrical Engineer	<i>Optional</i>			
Mechanical Subcontractor	<i>Optional</i>			
Electrical Subcontractor	<i>Optional</i>			
Security Electronics Subcontractor	<i>Optional</i>			
Detention Equipment Subcontractor	<i>Optional</i>			
Others	<i>Optional</i>			
NOTE: Disciplines that are listed as "Others":	1. Represents a complete listing of all other firms known at the time of bid submission who will participate in the Design-Build contract. 2. Are not subject to the public proposal process identified. 3. Do not have scored questions to complete in Part IV. 4. Cannot be substituted without the consent of the County of Plumas.			

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1. Background Information

1a.	Date of incorporation, formation, or commencement:	
1b.	Under the laws of what state:	

**If forming a partnership or association the D-BE must provide a copy of the agreement. This agreement must specify that all partners or association members agree to be fully liable for their performance under the Design-Build contract.**

2. For D-BEs that are Corporations

2a. Provide the following information for each person who is either (a) an officer of the corporation (CEO / President / COO), or (b) owner(s) of at least ten percent (10%) of the corporation’s stock.

Position	Name	Years with Company / Ownership	% Ownership
CEO			
President			
COO			
Major Shareholders			

2b. Identify every construction firm that any person listed above in question 2a has been associated with (as owner, general partner, limited partner, or officer) at any time during the last five (5) years. NOTE: For this question, “owner” and “partner” refer to ownership of ten percent (10%) or more of the company.

Person’s Name	Construction Firm	Dates of Participation

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3. For D-BEs that are Partnerships

3a. Provide all the following information for each partner who owns ten percent (10%) or more of the firm.

Position	Name	Years with Company	% Ownership

3b. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five (5) years. NOTE: For this question, “owner” and “partner” refer to ownership of ten percent (10%) or more of the business.

Person’s Name	Construction Firm	Dates of Participation

4. For D-BEs that are Joint Ventures

4a. Provide the following information for each firm that is a member of the Joint Venture:

Name of Firm	Name of Owner/Partner/ CEO/President	Years with Co.	% Ownership of Joint Venture

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**PART II**

**Essential Requirements for the DESIGN-BUILD ENTITY**

Part II has seventeen (17) questions. The Design-Build Entity (D-BE) will be disqualified for any responses to questions noted below as “Disqualifying.”

1. Each Member of the D-BE possesses their respective valid and current California licenses appropriate for the project for which they intend to submit a proposal.  
 Yes  No (Disqualifying)
2. The Architect has attached evidence of Errors and Omissions Insurance with a policy limit of at least \$2,000,000 per occurrence and not less than \$4,000,000 aggregate.  
 Yes  No (Disqualifying)
3. The General Contractor has attached evidence of General Liability Insurance with a policy limit of at least \$5,000,000 per occurrence and \$10,000,000 aggregate.  
 Yes  No (Disqualifying)
4. The General Contractor and the Architect have attached evidence of current Worker’s Compensation Insurance as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.  
 Yes  No (Disqualifying)  
 General Contractor or Architect is exempt because it has no employees
5. The General Contractor and the Architect have each attached a copy of reviewed financial statements with accompanying notes and supplemental information. NOTE: The General Contractor and the Architect are each required to submit reviewed financial statements for the latest fiscal year ended. These financial statements must include a balance sheet, statement of operations and retained earnings, statement of cash flows, and notes to the financial statements conforming to generally accepted accounting principles (GAAP). The financial statements must be reviewed by a certified public accountant (CPA) and must conform to generally accepted auditing standards (GAAS).  
 Yes  No (Disqualifying)
6. The General Contractor has attached a notarized Surety Declaration from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the General Contractor’s current bonding capacity is sufficient for this project. NOTE: The notarized statement must be

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from the surety company, not an agent or broker.

Yes  No (Disqualifying)

7. At any time during the last five (5) years, has a surety firm completed a contract on behalf of any member of the D-BE, or paid for completion because the member was default terminated by the project owner?

Yes (Disqualifying)  No

8. At the time of submitting this prequalification, is any member of the D-BE ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes (Disqualifying)  No

9. At any time during the last five (5) years, has any member of the D-BE, or any of their owners or officers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

Yes (Disqualifying)  No

10. Is any member of the D-BE currently the debtor in a bankruptcy case?

Yes (Disqualifying)  No

11. At any time during the last five (5) years, was any member of the D-BE in bankruptcy? (This question refers only to a bankruptcy action that was not described in answer to question 10).

Yes (Disqualifying)  No

12. At any time during the last five (5) years, has any California Contractors State License Board (CSLB), California Architects Board (CAB), California Board for Professional Engineers and Land Surveyors (BPELS), or an equivalent license from outside of the State of California held by any member of the D-BE or their Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended or revoked?

Yes (Disqualifying)  No

13. At any time during the last five (5) years, has any member of the D-BE, or any firm with which any of your company's owners, officers or partners was associated,

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been debarred, disqualified, defaulted on, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? NOTE: “associated with” refers to another firm in which an owner, officer, or partner held a similar position.

Yes (Explain)  No

14. Is the surety to be used for this project authorized by the Insurance Commissioner to transact business of insurance in the State of California?

Yes  No (Disqualifying)

15. At any time during the last five (5) years, has any member of the D-BE, or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes (Disqualifying)  No

16. At any time during the last five (5) years, has a court or administrative agency made a final determination that any member of the D-BE, individually or collectively with another party, violated any federal or state laws prohibiting the hiring or employment of illegal or undocumented employees?

Yes (Disqualifying)  No

17. At any time during the last five (5) years, has Cal/OSHA or an equivalent agency from outside the State of California cited and assessed penalties against any member of the D-BE for any “failure to abate,” “willful” or “repeat” violations of its safety or health regulations? NOTE: If you have filed an appeal of a citation and the Appeals Board has ruled in your favor, you need not include information about it.

Yes (Disqualifying)  No

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**PART III**

**Questions for the GENERAL CONTRACTOR**

Part III has seventeen (17) questions. Responses shall address the question for each party identified in the question.

1. At any time during the last five (5) years, has the General Contractor been assessed or paid liquidated damages resulting from a contract with a public or private owner?  
 Yes  No
2. At any time during the last three (3) years, has the General Contractor been required to pay a premium of more than one percent (1.00%) for a Performance or Payment Bond on any project?  
 Yes  No
3. At any time during the last five (5) years, has the General Contractor ever been denied bond coverage by a surety, or has there ever been a period of time when the General Contractor had no bond in place during a public construction project when one was required?  
 Yes  No
4. Does the General Contractor have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, sections 1509 and 3203?  
 Yes  No
5. Does the General Contractor have a written safety program that meets Cal/OSHA requirements?  
 Yes  No
6. Will the General Contractor have personnel permanently assigned and dedicated to safety on this project?  
 Yes  No
7. At any time during the last five (5) years, has the federal OSHA cited and assessed penalties against the General Contractor? NOTE: If you have filed an appeal of a citation and the Appeals Board has ruled in your favor, you need not include information about it.  
 Yes  No

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8. At any time during the last five (5) years, has EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against the General Contractor or the owner of a project on which the General Contractor was the contractor? NOTE: If you have filed an appeal of a citation and the Appeals Board has ruled in your favor, you need not include information about it.

Yes  No

9. List the General Contractor's Experience Modification Rate (EMR) (California Worker's Compensation insurance) for each of the past three premium years.

10. At any time during the last five (5) years, has there ever been a period when the General Contractor had employees but was without Worker's Compensation insurance or state-approved self-insurance?

Yes  No

11. At any time during the last five (5) years, has there been an occasion in which the General Contractor was required to pay either back wages or penalties for the failure to comply with the state's prevailing wage laws? NOTE: This question refers only to the General Contractor's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes  No

12. At any time during the last five (5) years, has there been an occasion in which the General Contractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

Yes  No

13. Does the General Contractor intend to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council for use on this Project if awarded the contract?

Yes  No

14. At any time during the last five (5) years, has the General Contractor been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes  No

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15. Does the General Contractor have a written Quality Assurance / Quality Control (QA /QC) program?

Yes

No

16. Will the General Contractor have personnel permanently assigned and dedicated to QA / QC on this project that have executed this program on prior project(s)?

Yes

No

17. At any time during the last five (5) years, have the General Contractor and Architect completed any Design-Build detentions or corrections projects as a team (substantially completed or final completion with final payment are acceptable)? If “yes” provide the following information on each project: description, type, location, owner, contact information, project value. NOTE: Any projects that are included in “Part V Comparable Projects” do not require additional information for a “yes” response.

Yes

No

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**PART IV**

**Questions for the MEMBERS of the DESIGN-BUILD ENTITY**

Part IV has nine (9) questions. Responses shall be prepared for each of the Members of the D-BE noted below.

The D-BE shall provide responses for the following Members:

- General Contractor
- Architect

1. At any time during the past five (5) years, has there been any change in ownership? If “yes” explain. NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes  No

2. Is the Member a subsidiary, parent, holding company or affiliate of another construction, architecture, or engineering firm? If “yes” explain. NOTE: Include information about other firms if one firm owns fifty percent (50%) or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes  No

3. State individual gross revenues for each of the last three years (2015, 2016, 2017).

4. List all California license numbers, classifications and expiration dates currently held. If any of the license(s) are held in the name of a corporation or partnership, list names of qualifying individual(s) listed on state records who meet the experience and examination requirements for each license.

5. At any time during the past five (5) years, has the Member had a claim in excess of \$50,000 filed against them in court or in arbitration concerning the work of the firm? If “yes,” provide the following information for each claim: project name, date of claim, claimant, description, court in which filed, status.

Yes  No

6. At any time during the past five (5) years, has the Member filed a claim in excess of

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\$50,000 against an owner in court or in arbitration concerning work on a project or payment for a contract? If “yes” provide the following information for each claim: project name, date of claim, owner, description, court in which filed, status.

Yes  No

7. At any time during the past five (5) years, has the Member had any carrier, for any form of insurance, refuse to renew coverage? If “yes,” provide the following information for each carrier: carrier name, year, form of insurance, reason.

Yes  No

8. Does the Member have a local office in the County of Plumas? If “yes,” provide the number of years it has operated.

Yes  No

9. During the past ten (10) years, how many new and/or renovated corrections facilities located in the United States has the Member designed or constructed? Provide the project name, location, and a brief description of each facility.

10. Does the member have experience with OCIP related projects?

Yes  No

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**PART V**

**Comparable Projects**

The General Contractor and the Architect must each complete and submit Project Data Sheets for three (3) Comparable Projects as evidence of relevant project experience. If the General Contractor and the Architect have both worked on the same project, that project can be submitted as a Comparable Project for both parties. All six (6) Comparable Projects will be scored.

Reference checks will be performed and scored for two (2) Comparable Projects for the General Contractor and for two (2) Comparable Projects for the Architect. Owner Contacts provided will be contacted and asked to provide responses to the Reference Questions.

A Comparable Project has the following required attributes. If a project does not have the following four (4) required attributes, that project will not be scored (zero points) and will not be available to use for Reference Questions:

1. A detention, corrections, or public safety facility with a construction cost of at least fifteen million dollars (\$15,000,000).
2. Located in the United States.
3. Designed in the last ten (10) years (2010 or later).
4. Project involvement not in a “consulting” capacity. For the General Contractor, project involvement must be as the primary, at-risk construction entity. For the Architect, project involvement must be as the Architect of Record, or Partnering Architect.

In addition to the required attributes, a Comparable Project is further scored as having the greatest number of the following desired attributes:

5. Located in the State of California.
6. Design-Build project delivery.
7. Designed in the last ten (10) years (2010 or later).
8. In construction or completed and occupied.
9. Experience in green building strategies.
10. Use of Building Information Modeling (BIM).
11. General Contractor and Architect worked together in a Design-Build agreement.

In addition to the completed Project Data Sheet, the General Contractor and the Architect may attach one (1) single-sided 8.5” x 11” sheet designated as Additional Project Information with information of your choice (examples can include project highlights, photographs, awards received, etc.) Limit one Additional Project Information sheet per Comparable Project.

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**Project Data Sheet**

1. Project Name:  
\_\_\_\_\_
2. Location:  
\_\_\_\_\_
3. Indicate Comparable Project attributes:
  - Detention, corrections, or public safety facility with a construction cost of at least \$15,000,000
  - Located in the United States
  - Designed in the last ten (10) years (2010 or later)
  - Project involvement not in a “consulting” capacity
  - Located in the State of California
  - Design-Build project delivery
  - In construction or completed and occupied
  - Experience in green building strategies
  - Use of Building Information Modeling (BIM)
  - General Contractor and Architect worked together in a Design-Build agreement
4. Provide the following information on the contract for construction:

DESCRIPTION	AT EXECUTION	AT FINAL PAYMENT
Contract Value (in USD)		
Contract Time (in calendar days)		
Contract Contingency (in USD)		(Remaining)

5. Provide the following information on change orders to the contract for construction:

ATTRIBUTABLE TO	TOTAL NO.	NET COST ADDED	NET DAYS ADDED
Contract Documents			
Field Conditions			
Owner Generated Scope			
Regulatory Requirements			
Force Majeure			
TOTALS			

6. Were liquidated or consequential damages assessed or paid on this project?
  - Yes
  - No
7. Are there any unresolved claims remaining between your firm and the owner?

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Yes  No

8. Were any major corrective actions to work in place required during or after construction?

Yes  No

9. Are there any persistent or repetitive warranty callback issues?

Yes  No

10. Were all of the owner's original program requirements included in the completed facility?

Yes  No

11. Was the project completed on schedule?

Yes  No

12. Was the project completed within budget?

Yes  No

13. Identify the following members of the project team:

General: \_\_\_\_\_

Architect: \_\_\_\_\_

Mechanical Contractor (Optional): \_\_\_\_\_

Electrical Contractor (Optional): \_\_\_\_\_

MEP Engineer (Optional): \_\_\_\_\_

Security Electronic Contractor (Optional): \_\_\_\_\_

Detention Equipment Contractor (Optional): \_\_\_\_\_

14. Owner Contact information for Reference Questions:

Owner Contact:

Title:

Address:

City, State, ZIP:

Phone:

E-mail:

Alternate Owner Contact:

Title:

Address:

City, State, ZIP:

Phone:

E-mail:

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**Authorization for Release of Information and Waiver of Liability for References**

By signing this Authorization, the Design-Build Entity (D-BE), and each of its Members, including those identified as “Others” in Part I, (collectively and individually referred to as “Contractor”) agree as follows:

1. Contractor authorizes any and all individuals who are familiar with Contractor’s work history and performance to provide information to the County of Plumas pertaining to Contractor’s performance.
2. Contractor voluntarily waives any and all rights it/he/she may have to privacy and/or confidentiality pertaining to Contractor’s work history and performance insofar as the information is released solely to the County of Plumas in its evaluation of Contractor’s suitability as a Design-Build team member for the project.
3. This authorization shall remain valid for one year from the date of signature.
4. Contractor hereby releases the County of Plumas and its officers and employees, and any party responding to a reference check, from any claims, damages or liabilities of any kind, that may directly or indirectly result from the use disclosure, or release of such information by any person or party, whether such information is favorable or unfavorable to Contractor, arising from the work reference contemplated by this authorization.

Contractor has read the above, understands its contents, and voluntarily agrees to its terms.

This Authorization must be signed (wet signature or electronically) by all Members of the D-BE, including those identified as “Others” in Part I. A copy of this Authorization will be provided to all individuals and entities solicited for a reference check.

General Contractor  
By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Architect  
By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Mechanical Engineer (Optional)  
By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Electrical Engineer (Optional)  
By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

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Mechanical Subcontractor (Optional)

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Detention Equipment Subcontractor (Optional)

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Security Electronics Subcontractor (Optional)

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Other

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Other

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Other

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Other

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Other

By: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

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**Reference Questions for the GENERAL CONTRACTOR**

The following sixteen (16) questions will be used to conduct reference checks with the Owner Contacts identified on two of the Comparable Projects for the General Contractor. The County will select the two Comparable Projects from among the three projects submitted. The Reference Questions are included in this package for information only. No action is required; however, the General Contractor may provide this information to their designated Owner Contact as a courtesy.

The Owner Contacts will be asked to rate their responses to the following questions on a scale of 1 to 3, with 2 being average, 1 being lowest, and 3 being the highest. For questions 2, 4, and 6, a response of “no” will equal 0 and a response of “yes” will equal 3.

In the event that an Owner Contact and Alternate Owner Contact cannot be contacted, Owner Contacts for the third Comparable Project will be contacted for Reference Questions. If only one reference check can be completed, no points will be awarded for the second reference check.

1. How would you rate the experience, leadership, and communication skills of the Project Superintendent provided by the General Contractor?
2. In reference to question 1, would you want this individual working for you again on a similar project?
3. How would you rate the creativity, timeliness, and accuracy of the Project Management Team provided by the General Contractor?
4. In reference to question 3, would you want these individuals working for you again on a similar project?
5. How would you rate the management sophistication, the depth of resources, and the systems utilized by the General Contractor?
6. In reference to question 5, were these qualities leveraged to the benefit of the project to your satisfaction?
7. How would you rate the General Contractor’s commitment to the project goals and objectives at all phases, including at proposal, during construction, after Substantial Completion, and during the commissioning period?
8. How would you rate the General Contractor’s approach to the use of contingencies?
9. Do you feel the General Contractor appropriately managed the contingencies under their control?

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10. How comfortable would you be constructing the same facility a second time by executing the same construction agreement with the General Contractor without changes to any of the terms or conditions?
11. How would you rate the transition of the project from construction to operations, including any required certifications, transfer of knowledge to the facilities staff, and adjustments or corrections resulting from the commissioning period?
12. How would your facilities staff rate the quality of construction in the context of past and present responsibilities for maintenance?
13. How would you rate the General Contractor's handling of claims, including timeliness, appropriateness, documentation, cost and effort to reduce impact on project schedule and budget?
14. How would you rate the General Contractor's ability and commitment to stay within the project budget?
15. How would you rate the General Contractor's ability and commitment to stay within the project schedule?
16. How would you rate the General Contractor's ability and commitment to complete outstanding warranty issues?

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**Reference Questions for the ARCHITECT**

The following fourteen (14) questions will be used to conduct reference checks with the Owner Contacts identified on at least two of the Comparable Projects for the Architect. The County will select the two Comparable Projects from among the three projects submitted. The Reference Questions are included in this package for information only. No action is required; however, the Architect may provide this information to their designated Owner Contact as a courtesy.

The Owner Contacts will be asked to rate their responses to the following questions on a scale of 1 to 3, with 2 being average, 1 being lowest, and 3 being the highest. For questions 2, 4, and 6, a response of “no” will equal 0 and a response of “yes” will equal 3.

In the event that an Owner Contact and Alternate Owner Contact cannot be contacted, Owner Contacts for the third Comparable Project will be contacted for Reference Questions. If only one reference check can be completed, no points will be awarded for the second reference check.

1. How would you rate the experience, leadership, and communication skills of the Project Architect?
2. In reference to question 1, would you want this individual working for you again on a similar project?
3. How would you rate the creativity, timeliness, and accuracy of the team provided by the Architect?
4. In reference to question 3, would you want these individuals working for you again on a similar project?
5. How would you rate the management sophistication, the depth of resources, and the systems utilized by the Architect?
6. In reference to question 5, were these qualities leveraged to the benefit of the project to your satisfaction?
7. How would you rate the Architect’s commitment to the project at all phases, including during construction, after Substantial Completion, and during the commissioning period?
8. How would you rate the adequacy of investigation and due diligence performed by the Architect in the following areas: program identification, existing conditions evaluation, development of details, product selections, code interpretation?
9. How comfortable would you be constructing the same facility a second time without changes to the design?

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10. How would you rate the transition of the project from design to construction, including adjustments to the design resulting from agency reviews, substitution requests, and RFIs?
11. How would your facilities staff rate the quality of the design in the context of past and present responsibilities for maintenance?
12. How would you rate the Architect's ability to avoid changes/claims during construction through accuracy and detailing of plans, timeliness of responses to RFIs and CORs, and emphasis on constructability?
13. How would you rate the Architect's ability and commitment to stay within the project budget?
14. How would you rate the Architect's accuracy of their cost estimates?
15. How would you rate the Architect's ability to manage and coordinate the design/engineering team?

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**PART VI**

**Surety Declaration**

Provide this declaration to your Surety or Sureties to be used for this project to be signed by an authorized representative and notarized and include with your Prequalification Document. If the entity submitting this prequalification document is a Joint Venture or a Partnership, provide such information for each member or partner.

The undersigned declares under penalty of perjury that the bonding capacity indicated below is true and correct and that this declaration was executed in:

\_\_\_\_\_ (County), \_\_\_\_\_ (State) on \_\_\_\_\_ (Date)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name and Title)

\_\_\_\_\_  
(Representing [Surety Name])

\_\_\_\_\_  
(Firm Name)

\_\_\_\_\_ (Address) \_\_\_\_\_ (City, State, Zip Code)

\_\_\_\_\_ (Telephone Number) \_\_\_\_\_ (Fax Number)

\_\_\_\_\_  
(Email Address)

*(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)*

Bonding capacity: \_\_\_\_\_

Provide documentation from your Surety identifying the following:

Name of bonding company/surety:	
Name of Surety agent, address and telephone number:	
Total bonding capacity	
Total available bonding capacity	

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**Certification**

I, the undersigned, certify and declare that I have read all the foregoing answers submitted in response to the County of Plumas SB 844 New Jail and Day Reporting Center Design-Build Project Prequalification Document and know their contents.

I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

On behalf of the Design-Build Entity (D-BE) designated below, I hereby provide an enforceable commitment that the D-BE and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project or contract that falls within an apprenticeship occupation in the building and construction trades. (See PCC 22164(c)).

Design-Build Entity: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ)  
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SECTION C – ATTACHMENTS**

The following items are included in Section C – Attachments:

1. Prequalification Score Sheets

**REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ)  
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**Point Summary**

<b>Part</b>	<b>Description</b>	<b>Scoring</b>
I	Information on the DESIGN-BUILD ENTITY and MEMBERS	For Information
II	Essential Requirements for the DESIGN-BUILD ENTITY	Pass / Fail
III	Questions for the GENERAL CONTRACTOR	0-180 points
IV	Questions for the MEMBERS of the DESIGN-BUILD ENTITY	0-28 points
V	Comparable Projects - GENERAL CONTRACTOR (Project One)	0-46 points
	Comparable Projects - GENERAL CONTRACTOR (Project Two)	0-46 points
	Comparable Projects - GENERAL CONTRACTOR (Project Three)	0-46 points
	Reference Questions - GENERAL CONTRACTOR (Comparable Project)	0-48 points
	Reference Questions - GENERAL CONTRACTOR (Comparable Project)	0-48 points
	Comparable Projects - ARCHITECT (Project One)	0-46 points
	Comparable Projects - ARCHITECT (Project Two)	0-46 points
	Comparable Projects - ARCHITECT (Project Three)	0-46 points
	Reference Questions - ARCHITECT (Comparable Project)	0-45 points
	Reference Questions - ARCHITECT (Comparable Project)	0-45 points

**Total Points            0-670**

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**Part I: Information on the DESIGN-BUILD ENTITY and MEMBERS**

Question	Topic	Description	Scoring	Score
1	Background	Information on Design-Build Entity and Members.	For Information	
1a	Background	Date of incorporation, formation, or commencement.	For Information	
1b	Background	Under the laws of what state.	For Information	
1	Background	Attached copy of agreement forming partnership or association with full liability.	For Information	
2a	Corporations	Provide information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten percent of the corporation's stock.	For Information	
2b	Corporations	Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.	For Information	
3a	Partnerships	Provide all the following information for each partner who owns 10 percent or more of the firm.	For Information	
3b	Partnerships	Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.	For Information	
4a	Joint Venture	Provide all of the following information for each firm that is a member of the Joint Venture.	For Information	

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**Part II: Essential Requirements for the DESIGN-BUILD ENTITY**

Question	Topic	Description	Scoring	Score
1	License	Each Member possesses their respective valid and current California licenses.	Yes = Pass No = Fail	
2	E & O Insurance	Attached Errors and Omissions insurance with a policy limit as requested in the RFSQ.	Yes = Pass No = Fail	
3	Liability insurance	Attached General Liability Insurance with a policy limit as requested in the RFSQ.	Yes = Pass No = Fail	
4	Workers Comp Insurance	Attached General Contractor current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.	Yes = Pass No = Fail	
4	Workers Comp Insurance	Attached Architect current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.	Yes = Pass No = Fail	
5	Financial Statement	General Contractor has attached the latest copy of reviewed or audited financial statements with accompanying notes and supplemental information.	Yes = Pass No = Fail	
5	Financial Statement	Architect has attached the latest copy of reviewed or audited financial statements with accompanying notes and supplemental information.	Yes = Pass No = Fail	
6	Bonding Capacity	Attached notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the General Contractor's current bonding capacity is sufficient for this project.	Yes = Pass No = Fail	
7	Surety History	Has a surety firm completed a contract on behalf of any member of the Design-Build Entity, or paid for completion because the contract was default terminated by the project owner?	Yes = Fail No = Pass	
8	Eligibility to Bid	At the time of submitting this prequalification form, is any member of the Design-Build Entity ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?	Yes = Fail No = Pass	
9	Criminal History	Has any member of the Design-Build Entity, or any of their owners or officers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?	Yes = Fail No = Pass	
10	Bankruptcy	Is any member of the Design-Build Entity currently the debtor in a bankruptcy case?	Yes = Fail No = Pass	
11	Bankruptcy	At any time in the last five (5) years, was any member of the Design-Build Entity in bankruptcy?	Yes = Fail No = Pass	
12	License	Has any California Contractors State License Board (CSLB), California Architects Board (CAB), or California Board of Professional Engineers and Land Surveyors (BPELS) license held by any member of the Design-Build Entity or their Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended or revoked?	Yes = Fail No = Pass	
13	Disbarment	Has any member of the Design-Build Entity, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, defaulted on, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?	Yes = Fail No = Pass	
14	Surety	Is the surety to be used for this project authorized by the Insurance Commissioner to transact business of insurance in the State of California?	Yes = Pass No = Fail	
15	Criminal History	Has any member of the Design-Build Entity, or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?	Yes = Fail No = Pass	
16	Criminal History	Has a court or administrative agency made a final determination that any member of the Design-Build Entity, individually or collectively with another party, violated any federal or state laws prohibiting the hiring or employment of illegal or undocumented employees?	Yes = Fail No = Pass	
17	Safety Violations	Has Cal/OSHA cited and assessed penalties against any member of the Design-Build Entity for any "serious," "willful" or "repeat" violations of its safety or health regulations?	Yes = Fail No = Pass	

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**Part III: Questions for the GENERAL CONTRACTOR**

Question	Topic	Description	Scoring	Score
1	Liquidated Damages	At any time during the past five (5) years has the General Contractor been assessed or paid liquidated damages resulting from a project with a public or private owner?	Yes = 0 pts No = 10 pts	
2	Bond Premiums > 1%	At any time during the past three (3) years, has the General Contractor been required to pay a premium of more than one percent (1.00%) for a Performance or Payment Bond on any project?	Yes = 0 pts No = 10 pts	
3	Bond Coverage	At any time during the past five (5) years, has the General Contractor ever been denied bond coverage by a surety, or has there ever been a period of time when the General Contractor had no bond in place during a public construction project when one was required?	Yes = 0 pts No = 10 pts	
4	Safety Program	Does the General Contractor have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, sections 1509 and 3203?	Yes = 10 pts No = 0 pts	
5	Safety Program	Does the General Contractor have a written safety program that meets Cal/OSHA requirements?	Yes = 10 pts No = 0 pts	
6	Safety Program	Will the General Contractor have personnel permanently assigned and dedicated to safety on this project?	Yes = 10 pts No = 0 pts	
7	OSHA Violations	At any time during the past five (5) years, has the federal Occupational Safety and Health Administration cited and assessed penalties against the General Contractor?	Yes = 0 pts No = 10 pts	
8	Environmental Record	At any time during the past five (5) years, has the Environmental Protection Agency, any Air Quality Management District, or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or the Owner of a project on which the General Contractor was the contractor?	Yes = 0 pts No = 10 pts	
9	Insurance EMR	For each of the past three (3) premium years, identify the General Contractor's Experience Modification Rate (EMR). If your EMR is or was 1.00 or higher, you may include a letter of explanation. 2018 EMR	Points = 10-(EMR*5)	
9	Insurance EMR	2019 EMR	Points = 10-(EMR*5)	
9	Insurance EMR	2020 EMR	Points = 10-(EMR*5)	
10	Workers Comp Insurance	At any time during the past five (5) years, has there ever been a period when the General Contractor had employees but was without Workers' Compensation Insurance or state-approved self-insurance?	Yes = 0 pts No = 10 pts	
11	State Prevailing Wage Violations	At any time during the past five (5) years, has there been an occasion in which the General Contractor was required to pay either back wages or penalties for the failure to comply with the state's prevailing wage laws?	Yes = 0 pts No = 10 pts	
12	Federal Prevailing Wage Violations	At any time during the past five (5) years, has there been an occasion in which the General Contractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?	Yes = 0 pts No = 10 pts	
13	Apprenticeship Program	Does the General Contractor intend to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council for use on this project if awarded the contract?	Yes = 5 pts No = 0 pts	
14	Apprenticeship Violations	At any time during the past five (5) years, has the General Contractor been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?	Yes = 0 pts No = 5 pts	
15	Quality Control	Does the General Contractor have a written Quality Assurance / Quality Control (QA / QC) program?	Yes = 10 pts No = 0 pts	
16	Quality Control	Will the General Contractor have personnel permanently assigned and dedicated to QA / QC on this project that have executed this program on prior project(s)?	Yes = 10 pts No = 0 pts	
17	D-BE Team Experience	At any time during the past five (5) years, have the General Contractor and Architect worked together on any Design-Build detentions or corrections projects as a team?	Yes = 10 pts No = 0 pts	

**Subtotal Points 0-180 Points**

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**Part IV: Questions for the MEMBERS of the DESIGN-BUILD ENTITY**

Question	Topic	Description	Scoring	Score
1	Ownership	At any time during the past five (5) years, has there been any change in ownership?	For Information	
2	Ownership	Is the Member a subsidiary, parent, holding company or affiliate of another construction or engineering firm?	For Information	
3	Revenue	State individual gross revenues for each of the last three years (2017, 2018, 2019).	For Information	
4	License Numbers	List all California license numbers, classifications and expiration dates held. If any of the license(s) are held in the name of a corporation or partnership, list names of qualifying individual(s) listed on state records who meet the experience and examination requirements for each license.	For Information	
5	Claims	At any time during the past five (5) years, has the Member had a claim in excess of \$50,000 filed against them in court or in arbitration concerning the work of the firm?	Yes = 0 pts No = 3 pts	
6	Claims	At any time during the past five (5) years, has the Member filed a claim in excess of \$50,000 against an owner in court or in arbitration concerning work on a project or payment for a contract?	Yes = 0 pts No = 3 pts	
7	Insurance Renewal	At any time during the past five (5) years, has the Member had any carrier, for any form of insurance, refuse to renew coverage?	Yes = 0 pts No = 3 pts	
8	US Corrections Projects	During the past ten (10) years, how many new corrections facilities located in the United States has the Member designed or constructed?	1 point per facility, 5 pts	
		<b>GENERAL CONTRACTOR Subtotal</b>	<b>0-14 points</b>	

Question	Topic	Description	Scoring	Score
1	Ownership	At any time during the past five (5) years, has there been any change in ownership?	For Information	
2	Ownership	Is the Member a subsidiary, parent, holding company or affiliate of another construction or engineering firm?	For Information	
3	Revenue	State individual gross revenues for each of the last three years (2017, 2018, 2019).	For Information	
4	License Numbers	List all California license numbers, classifications and expiration dates held. If any of the license(s) are held in the name of a corporation or partnership, list names of qualifying individual(s) listed on state records who meet the experience and examination requirements for each license.	For Information	
5	Claims	At any time during the past five (5) years, has the Member had a claim in excess of \$50,000 filed against them in court or in arbitration concerning the work of the firm?	Yes = 0 pts No = 3 pts	
6	Claims	At any time during the past five (5) years, has the Member filed a claim in excess of \$50,000 against an owner in court or in arbitration concerning work on a project or payment for a contract?	Yes = 0 pts No = 3 pts	
7	Insurance Renewal	At any time during the past five (5) years, has the Member had any carrier, for any form of insurance, refuse to renew coverage?	Yes = 0 pts No = 3 pts	
8	US Corrections Projects	During the past ten (10) years, how many new corrections facilities located in the United States has the Member designed or constructed?	1 point per facility, 5 pts	
		<b>ARCHITECT Subtotal</b>	<b>0-14 points</b>	

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**Part IV: Questions for the MEMBERS of the DESIGN-BUILD ENTITY**

**Part V: Comparable Projects – GENERAL CONTRACTOR**

Question	Topic	Description	Scoring	Score
1	Information	Project Name	For Information	
2		Project Location	For Information	
3	Attributes	Detention, Corrections or Public Safety Facility with a Construction Cost of at least \$15,000,000	Yes = Project Scored No = Project Not Scored	
		Located in the United States	Yes = Project Scored No = Project Not Scored	
		Designed in the last fifteen (15) years (2005 or later)	Yes = Project Scored No = Project Not Scored	
		Project involvement not in a "consulting" capacity; GC primary, at-risk construction entity	Yes = Project Scored No = Project Not Scored	
		Located in the State of California	Yes = 5 pts No = 0 pts	
		Design-Build project delivery	Yes = 5 pts No = 0 pts	
		Designed in the last five (5) years (2015 or later)	Yes = 5 pts No = 0 pts	
		In construction or completed and occupied	Yes = 4 pts No = 0 pts	
		Experience in green building strategies	Yes = 4 pts No = 0 pts	
		Use of Building Information Modeling (BIM)	Yes = 4 pts No = 0 pts	
	General Contractor and proposed Architect worked together as team on this project	Yes = 4 pts No = 0 pts		
4	Contract	Contract Value	For Information	
		Contract Time	For Information	
		Contract Contingency	For Information	
5	Change Orders	Causes for changes to Contract Value and Time	For Information	

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**Part V: Comparable Projects – GENERAL CONTRACTOR**

Question	Topic	Description	Scoring	Score
6	Questions	Were either liquidated damages or actual damages for delay assessed on this project?	Yes = 0 pts No = 3 pts	
7		Are there any unresolved claims remaining between your firm and the owner?	Yes = 0 pts No = 3 pts	
8		Were any major corrective actions to work in place required during or after construction?	Yes = 0 pts No = 3 pts	
9		Are there any persistent or repetitive warranty callback issues?	Yes = 0 pts No = 3 pts	
10		Were all of the owner's original program requirements included in the completed facility and within the context of the originally anticipated budget and schedule?	Yes = 3 pts No = 0 pts	
11	Project Team	General Contractor	For Information	
		Mechanical Contractor	For Information	
		Electrical Contractor	For Information	
		Architect	For Information	
		MEP Engineer	For Information	
		Electronic Security Systems (ESS)	For Information	
12	Owner	Owner contact information.	For Information	

**Subtotal Points 0-46 points**

1	Reference	How would you rate the experience, leadership, and communication skills of the Project Superintendent provided by the General Contractor?	1-3 points	
2	Reference	Would you want this individual working for you again on a similar project?	Yes = 3 pts No = 0 pts	
3	Reference	How would you rate the creativity, timeliness, and accuracy of the Project Manager, Project Engineer, and Estimator provided by the General Contractor?	1-3 points	
4	Reference	Would you want these individuals working for you again on a similar project?	Yes = 3 pts No = 0 pts	
5	Reference	How would you rate the management sophistication, the depth of resources, and the systems utilized by the General Contractor?	1-3 points	
6	Reference	Were these qualities leveraged to the benefit of the project to your satisfaction?	Yes = 3 pts No = 0 pts	
7	Reference	How would you rate the General Contractor's commitment to the project goals and objectives at all phases, including at proposal, during construction, after Substantial Completion, and during the commissioning period?	1-3 points	
8	Reference	How would you rate the General Contractor's approach to the use of contingencies?	1-3 points	
9	Reference	Do you feel the General Contractor appropriately managed the contingencies under their control?	1-3 points	
10	Reference	How comfortable would you be constructing the same facility a second time by executing the same construction agreement with the General Contractor without changes to the any of the terms or conditions?	1-3 points	
11	Reference	How would you rate the transition of the project from construction to operations, including any required certifications, transfer of knowledge to the facilities staff, and adjustments or corrections resulting from the commissioning period?	1-3 points	
12	Reference	How would your facilities staff rate the quality of construction in the context of past and present responsibilities for maintenance?	1-3 points	
13	Reference	How would you rate the GC's handling of claims, including timeliness, appropriateness, documentation, cost and effort to reduce impact on project schedule and budget?	1-3 points	
14	Reference	How would you rate the GC's ability and commitment to stay within the project budget?	1-3 points	
15	Reference	How would you rate the GC's ability and commitment to stay within the project schedule?	1-3 points	
16	Reference	How would you rate the GC's ability and commitment to complete outstanding warranty issues?	1-3 points	

**Subtotal Points 0-48 points**

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**Part V: Comparable Projects – ARCHITECT**

Question	Topic	Description	Scoring	Score
1	Information	Project Name	For Information	
2		Project Location	For Information	
3	Attributes	Detention, Corrections or Public Safety Facility with a Construction Cost of at least \$15,000,000	Yes = Project Scored No = Project Not Scored	
		Located in the United States	Yes = Project Scored No = Project Not Scored	
		Designed in the last fifteen (15) years (2005 or later)	Yes = Project Scored No = Project Not Scored	
		Project involvement not in a "consulting" capacity; Architect as the Architect of Record	Yes = Project Scored No = Project Not Scored	
		Located in the State of California	Yes = 5 pts No = 0 pts	
		Design-Build project delivery	Yes = 5 pts No = 0 pts	
		Designed in the last five (5) years (2015 or later)	Yes = 5 pts No = 0 pts	
		In construction or completed and occupied	Yes = 4 pts No = 0 pts	
		Experience in green building strategies.	Yes = 4 pts No = 0 pts	
		Use of Building Information Modeling (BIM)	Yes = 4 pts No = 0 pts	
	General Contractor and Architect worked together in a Design-Build agreement.	Yes = 4 pts No = 0 pts		
4	Contract	Contract Value	For Information	
		Contract Time	For Information	
		Contract Contingency	For Information	
5	Change Orders	Causes for changes to Contract Value and Time	For Information	

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**Part V: Comparable Projects – ARCHITECT**

Question	Topic	Description	Scoring	Score
6	Questions	Were either liquidated damages or actual damages for delay assessed on this project?	Yes = 0 pts No = 3 pts	
7		Are there any unresolved claims remaining between your firm and the owner?	Yes = 0 pts No = 3 pts	
8		Were any major corrective actions to work in place required during or after construction?	Yes = 0 pts No = 3 pts	
9		Are there any persistent or repetitive warranty callback issues?	Yes = 0 pts No = 3 pts	
10		Were all of the owner's original program requirements included in the completed facility and within the context of the originally anticipated budget and schedule?	Yes = 3 pts No = 0 pts	
11	Project Team	General Contractor	For Information	
		Mechanical Contractor	For Information	
		Electrical Contractor	For Information	
		Architect	For Information	
		MEP Engineer	For Information	
		Electronic Security Systems (ESS)	For Information	
12	Owner	Owner contact information.	For Information	

**Subtotal Points 0-46 points**

1	Reference	How would you rate the experience, leadership, and communication skills of the Project Architect?	1-3 points	
2	Reference	Would you want this individual working for you again on a similar project?	Yes = 3 pts No = 0 pts	
3	Reference	How would you rate the creativity, timeliness, and accuracy of the team provided by the Architect?	1-3 points	
4	Reference	Would you want these individuals working for you again on a similar project?	Yes = 3 pts No = 0 pts	
5	Reference	How would you rate the management sophistication, the depth of resources, and the systems utilized by the Architect?	1-3 points	
6	Reference	Were these qualities leveraged to the benefit of the project to your satisfaction?	Yes = 3 pts No = 0 pts	
7	Reference	How would you rate the Architect's commitment to the project at all phases, including during construction, after Substantial Completion, and during the commissioning period?	1-3 points	
8	Reference	How would you rate the adequacy of investigation and due diligence performed by the Architect in the following areas: program identification, existing conditions evaluation, development of details, product selections, code interpretation?	1-3 points	
9	Reference	How comfortable would you be constructing the same facility a second time without changes to the design?	1-3 points	
10	Reference	How would you rate the transition of the project from design to construction, including adjustments to the design resulting from agency reviews, substitution requests, and RFIs?	1-3 points	
11	Reference	How would your facilities staff rate the quality of the design in the context of past and present responsibilities for maintenance?	1-3 points	
12	Reference	How would you rate the Architect's ability to avoid changes/claims during construction through accuracy and detailing of plans, timeliness of responses to RFI's and CCOs, and emphasis on constructability?	1-3 points	
13	Reference	How would you rate the Architect's ability and commitment to stay within the project budget?	1-3 points	
14	Reference	How would you rate the Architect's accuracy of their cost estimates?	1-3 points	
15	Reference	How would you rate the Architect's ability to manage and coordinate the design/engineering team?	1-3 points	

**Subtotal Points 0-45 points**